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## **OPEN** Open Fundus Photograph **Dataset with Pathologic Myopia** DATA DESCRIPTOR **Recognition and Anatomical Structure Annotation**

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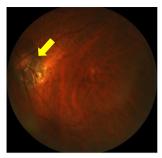
Pathologic myopia (PM) is a common blinding retinal degeneration suffered by highly myopic population. Early screening of this condition can reduce the damage caused by the associated fundus lesions and therefore prevent vision loss. Automated diagnostic tools based on artificial intelligence methods can benefit this process by aiding clinicians to identify disease signs or to screen mass populations using color fundus photographs as inputs. This paper provides insights about PALM, our open fundus imaging dataset for pathological myopia recognition and anatomical structure annotation. Our databases comprises 1200 images with associated labels for the pathologic myopia category and manual annotations of the optic disc, the position of the fovea and delineations of lesions such as patchy retinal atrophy (including peripapillary atrophy) and retinal detachment. In addition, this paper elaborates on other details such as the labeling process used to construct the database, the quality and characteristics of the samples and provides other relevant usage notes.

### **Background & Summary**

Myopia has become a global burden of public health. In 2020, this condition affected nearly 30% of the world population, and that number is expected to rise up to 50% by 2050<sup>1</sup>. Among myopic patients, about 10% have high myopia<sup>1</sup>, which is defined by a refractive error of at least -6.00D or an axial length of 26.5 mm or larger<sup>2</sup>. As myopic refraction increases, there is an associated risk of pathological changes to the retina and choroid, i.e., high myopia will develop into pathological myopia (PM)<sup>3</sup>. PM is characterized by the formation of pathologic changes at the posterior pole and the optic disc and by myopic maculopathy<sup>4</sup>. Among lesions usually observed in PM retinas, some of the more commonly seen are peripapillary atrophies, tessellations and macular hemorrhages (Fig. 1). These abnormalities can be observed in color fundus photography (CFP) (Fig. 1), which is currently the most cost-effective imaging modality for this condition<sup>5</sup>. As undetected PM might potentially result in irreversible visual impairment, it turns relevant to diagnose it at an early stage, to ensure regular patient follow-ups and treatments before further complications.

In view of the recent developments in artificial intelligence (AI) technology in the field of computer-aided disease diagnosis and treatment, multiple studies started to focus on applying this technology for automated analysis of CFPs<sup>6-8</sup>. However, only a few studies aimed at PM in particular. We believe this is likely due to the fact that these data-driven models need to be trained using large curated and annotated datasets, which are currently scarce and not publicly available for this specific condition.

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(a) Peripapillary atrophy

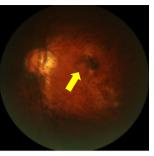
(d) Retinal atrophy



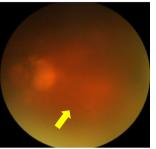
(b) Tessellated retina



(e) Retinal detachment



(c) Macular hemorrhage



(f) Vitreous opacity

Fig. 1 Examples of retinal lesions commonly observed in PM cases: (a) Peripapillary atrophies, which occur at the proximity of the optic disc; (b) Tessellated retina, with an observable large choroidal vessels at the posterior fundus pole; (c) Macular hemorrhages, mostly along the crack itself and near from the center of the fovea or in its immediate vicinity; (d) Retinal atrophy, pigment clumping in and around the lesion due to migration of the degenerated retinal pigment epithelium cells into the inner retinal layers; (e) Retinal detachment, an emergency situation in which the retina is pulled away from its normal position; (f) Vitreous opacity, in which the vitreous shrinks and forms strands that cast shadows on the retina. All images corresponds to training samples from PALM.

To facilitate future research in this topic, we provide PALM, an open database containing 1200 color fundus photographs related to PM<sup>9</sup>. Unlike other disease datasets already available for the ophthalmic image analysis community such as SCES<sup>10</sup>, ODIR (https://odir2019.grand-challenge.org/) or AIROGS<sup>11</sup>, ours includes not only CFPs and the disease labels but also optic disc segmentations, the location of the fovea and manual delineations of disease related lesions. These additional annotations can assist in building complementary AI models for disease classification and interpretation, which can aid clinicians to comprehensively analyze disease patterns and provide a more accurate diagnostic of PM.

PALM dataset<sup>12</sup> has been released as part of the PAthologicaL Myopia challenge, which was held in conjunction with the International Symposium on Biomedical Imaging (ISBI) in 2019. To date, our dataset has already been used in more than 100 papers in the field of automated diagnosis of PM<sup>13-17</sup> or fundus structure analysis<sup>18-20</sup> based on CFPs.

#### Methods

**Data collection.** PALM<sup>12</sup> contains retinal images retrospectively collected from a myopic examination cohort at the Zhongshan Ophthalmic Center (ZOC), Sun Yat-sen University, China. Each CFP was acquired in a single field of view, i.e., the fundus was photographed with the midpoint of the optic disc (OD) and macula as the center, or in a dual field of view, i.e. with the OD and macula as the center of the image, respectively (Fig. 2).

The protocol for retrieving the images was approved by the ethics board of ZOC. CFPs were included if: (1) they were acquired with a single field of view or with a dual field of view; (2) they do not have noticeable quality issues, such as severe smudges, artifacts, out-of-focus, blurriness, incorrect exposure, etc., that would affect the clarity of the observed target area. Images were excluded if they showed any trace of treatment, severe exposure abnormalities, severe refractive interstitial opacities, large-scale contaminations or if information about its origin was missing.

The CFPs in the final dataset were captured from the left eyes of 720 subjects, with 1–3 CFPs meeting the quality requirements retained for each eye. In total, 1200 CFPs were retained. The 1–3 CFPs captured for each subject were taken at the same examination time. Of the 720 subjects, 48.1% were male, and the average age was  $37.5 \pm 15.91$ . The ethnicity of the subjects in PALM dataset is Chinese. Among the 1,200 images, 1047 were captured with a Zeiss Visucam 500 camera (resolution of  $2124 \times 2056$  pixels), and 153 were captured with a Canon CR-2 camera (resolution of  $1444 \times 1444$  pixels). The database is provided already split into a training, a validation, and a test set (Table 1), with images belonging to the same patient assigned to the same set.

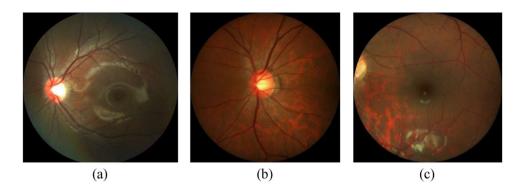


Fig. 2 Color fundus images from PALM training set centered at (a) the midpoint between optic disc and fovea, (b) the optic disc, and (c) the fovea).

Set	Num.	PM/Non-PM	With/o OD	With/o Fovea	With/o Detachment	With/o Atrophy	Photo centering (OD/ fovea/ midpoint of OD and fovea)	Device (Zeiss/ Canon)
Training	400	213/187	381/19	397/3	12/388	311/89	42/258/100	350/50
Validation	400	211/189	379/21	397/3	6/394	271/129	43/258/99	344/56
Testing	400	213/187	384/16	398/2	6/394	288/112	38/284/78	353/47
Total	1200	637/563	1144/56	1192/8	24/1176	870/330	123/800/277	1047/153

**Table 1.** Summary of the main characteristics of each subset of the PALM dataset, stratified by disease, structure, lesion, image acquisition type, and acquisition device.

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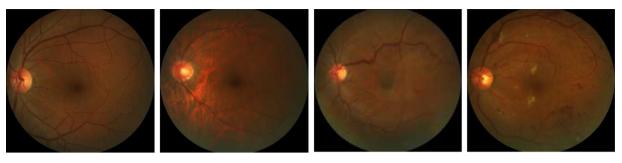
**Disease diagnosis.** Disease labels indicating the presence or absence of PM were assigned to each scan based on clinical diagnoses provided by the clinicians at the time of examination, which considered in a comprehensive manner the medical history, refractive error, fundus imaging reports, optical coherence tomography (OCT) imaging reports, etc. The guidelines of the International Myopia Institute<sup>21</sup> were followed, so that a subject was considered as PM if structural changes in the posterior segment of the eye caused by an excessive axial elongation associated with myopia were observed, including posterior staphyloma, myopic maculopathy, and high myopia-associated glaucoma-like optic neuropathy. These alterations were observed during clinical examination using multiple imaging modalities, including OCT, fluorescein angiography (FA), and OCT angiography (OCTA). Notice that non-PM images might not necessarily correspond to healthy subjects, as shown in Fig. 3.

**Manual annotations.** Manual delineations of the optic disc and fundus lesions and the annotation of the fovea localization (Fig. 4) were performed by seven ophthalmologists with an average experience of 8 years in the field (ranging from 5 to 10 years) and one senior ophthalmologist, with more than 10 years of experience, all of them part of ZOC staff (Fig. 5). All ophthalmologists annotated the structures by themselves without having access to any patient information or knowledge of disease prevalence in the data. Details regarding the annotation protocol followed for each specific target are provided in the sequel.

*Optic disc annotation.* Experts used a free annotation tool with capabilities for image review, zoom, contrast enhancement, and circle and ellipse fitting, to manually draw elliptical structures approximately covering the optic disc. Pixels within the fitted area were then mapped to a binary pixel-wise segmentation mask. Annotations of the same image performed by the seven different graders were merged into a single one by majority voting. The senior ophthalmologist then performed a quality check of this resulting mask to account for any potential mistakes. When errors in the annotations were observed, the senior ophthalmologist analyzed each of the seven masks, removed those that were considered erroneous and repeated the majority voting process with the remaining ones.

*Fovea localization.* A tool that allows to manually set the position of the crosshair on an image was used to approximate the location of the fovea. The final annotation was produced by averaging the seven coordinates provided by the ophthalmologists, which was further reviewed by the senior ophthalmologist. Out of all the initial fovea position annotations, roughly 0.43% contained inaccurate coordinate information. The senior ophthalmologist eliminated these erroneous coordinates and recalculated the average of the remaining coordinates to ascertain the final fovea position.

*Lesion annotation.* Two types of lesions related to PM were annotated on each image: patchy retinal atrophy (including peripapillary atrophy) and retinal detachment. Experts used the same annotation tool as for the optic disc, although using a closed curve to outline the lesions. Unlike the SUSTech-SYSU dataset<sup>22</sup>, a free-form closed curve was used to allow a more accurate approximation of lesion borders. The same revision process used for the optic disc mask was followed for lesion masks as well.



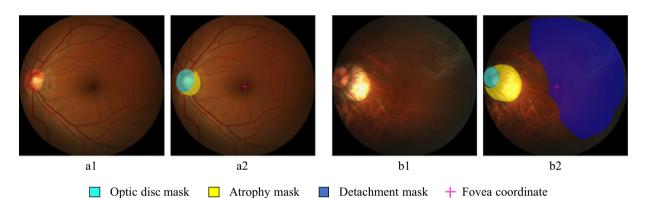
(a) Normal

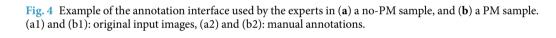
(b) High myopia

#### (c) Epiretinal membrane

(d) Diabetic retinopathy

**Fig. 3** Examples of color fundus images from PALM corresponding to the non-PM category. Notice that this subset contains not only healthy subjects (**a**) but also subjects with other conditions such as high myopia (**b**), epiretinal membrane (**c**) and diabetic retinopathy (**d**), among others.





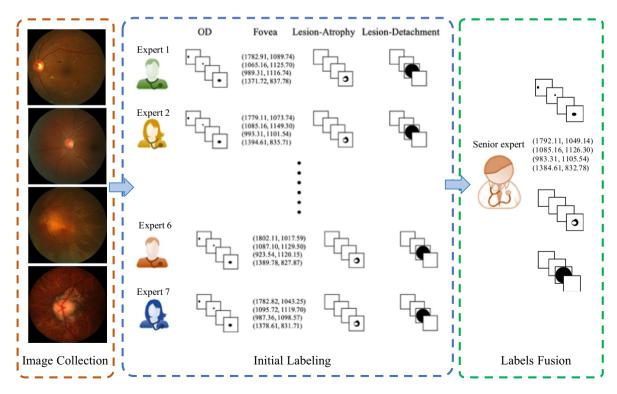


Fig. 5 Manual annotation process. Manual delineations were performed by seven different experts and reviewed subsequently by one senior expert.

Quality	Good	Usable	Reject	
РМ	37	123	477	
Non-PM	238	290	35	

**Table 2.** The image quality assessment results in PM and Non-PM samples of the PALM dataset according to the fundus image quality assessment method proposed by Fu *et al.*<sup>23</sup>.

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Quality	Good	Usable	Reject		
Training set	84	143	173		
Validation set	87	143	170		
Testing set	104	127	169		

**Table 3.** The image quality assessment results in different subsets of the PALM dataset according to the fundus image quality assessment method proposed by Fu *et al.*<sup>23</sup>.

	Training		Validation		Testing	
	РМ	Non-PM	РМ	Non-PM	РМ	Non-PM
Optic disc centered	0%	0%	0%	0%	0%	0%
Fovea centered	3.6%	0%	1.2%	0%	0.8%	0%
Midpoint of the optic disc and fovea centered		0%	2.3%	0%	3.6%	0%

Table 4. Proportion of the detachment mask pixels in different categories of fundus images in the PALM dataset.

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**Data validation.** Data quality was automatically verified using the Multiple Color-space Fusion Network (MCF-Net) approach by Fu *et al.*<sup>23</sup>, which classifies color fundus images into quality grades good, usable and reject based on different color-space representation at feature and prediction levels. Tables 2, 3 indicate the number of images grouped by quality according to each disease label and for each split, respectively.

According to Tables 2, 6.2% of the images in the non-PM category are classified as reject, while this number rises to 74.9% in the PM category. This is because Fu *et al*.'s model<sup>23</sup> categorizes images showing unclear visibility of the optic disc, macula, or blood vessels as 'reject' which is a common practice in similar studies<sup>24–27</sup>. In PM images, due to conditions such as macular hemorrhage, retinal atrophy, retinal detachment, or vitreous opacities, there are many instances where the visibility of the optic disc, macula, or blood vessel structures is unclear (as shown in Fig. 1). Nevertheless, these 'reject' images should not be excluded as they represent real clinical data. When designing AI image analysis algorithms, these types of images should be taken into account. However, existing open-source datasets tend to select images with clear visibility of the fundus structures, meaning that low-quality images with indistinct descriptions of the optic disc, macula, or blood vessel structures are often not included in the dataset. This limitation results in current algorithms having reduced efficiency when dealing with such images. Therefore, the strength of our dataset lies in its inclusion of numerous low-quality images (reject images) that replicate real clinical scenarios, which is crucial for research involving the analysis of fundus image structures.

In addition, it can be seen from Table 3 that the image quality distribution in training, validation and testing subsets of the proposed PALM dataset<sup>12</sup> is relatively consistent. This ensures that the posterior evaluation of the model will not suffer from unstratified sampling and distribution biases.

#### **Data Records**

PALM is available on Figshare<sup>12</sup>. All personal information that could be used to identify the patients was removed before preparation. Data is provided already partitioned in folders *Training set*, *Validation set* and *Test set*, with each subset containing folders for '*Images*', '*Disc Masks*', and '*Lesion Masks*', and three Excel files (i.e. '*Classification Labels.xlsx*', '*Fovea Localization.xlsx*', and '*Supplementary Information.xlsx*'), as shown in Fig. 6.

The 'Images' folder within each subset contains 400 color fundus images each, stored in JPEG format, with 8 bits per color channel. Similarly, the 'Disc Masks' has all binary optic disc masks associated to each fundus picture, as BMP files, also with 8 bits per color channel. On the other hand, the 'Lesion Masks' folder contains two subfolders, corresponding to each lesion type target, namely, 'Atrophy' and 'Detachment', with binary annotations for patchy retinal atrophy and retinal detachment, respectively. The file format of the lesion segmentation masks is consistent with those of the optic disc masks.

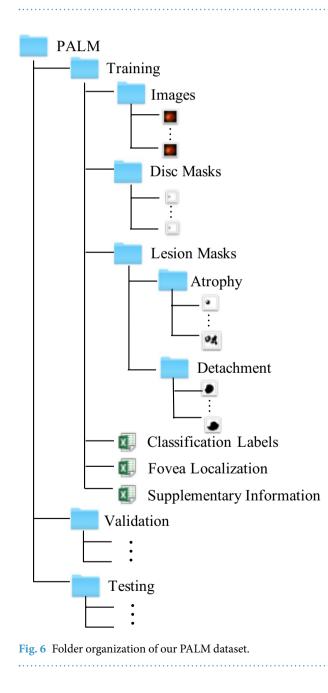
The '*Classification Labels.xlsx*' file contains the labels for PM classification, with 1 representing PM and 0 no-PM. The '*Fovea Localization.xlsx*' provides the x- and y- coordinates of the fovea. Notice that a coordinate (0, 0) is used when the fovea is not visible in the associated image. Additional information, i.e., the equipments used for image acquisition and the type of photo centering, are provided in the '*Supplementary Information.xlsx*' file, using in one column 1 to denote Zeiss and 2 to denote Canon devices, and, in a second column, 1, 2, and 3 to indicate optic disc centered, fovea centered, and center at the midpoint of optic disc and fovea, respectively.

		Training		Validation		Testing	
	РМ	Non-PM	РМ	Non-PM	РМ	Non-PM	
Optic disc centered	15.1%	0.6%	16.8%	1.1%	16.8%	1.5%	
Fovea centered	9.8%	0.3%	14.3%	0.3%	13.3%	0.3%	
Midpoint of the optic disc and fovea centered	14.2%	0.3%	15.7%	0.3%	20.3%	0.5%	

 Table 5.
 Proportion of the atrophy mask pixels in different categories of fundus images in the PALM dataset.

	Training	Validation	Testing
Optic disc centered	[0.856, 0.495]	[0.846, 0.506]	[0.851, 0.515]
Fovea centered	[0.522, 0.513]	[0.524, 0.516]	[0.5224, 0.514]
Midpoint of the optic disc and fovea centered	[0.614, 0.503]	[0.607, 0.503]	[0.607, 0.506]

**Table 6.** The mean  $[\overline{x}, \overline{y}]$  of the normalized coordinates for the fovea localization among the fundus images with different photo centering in PALM dataset.



#### **Technical Validation**

Tables 4, 5 provide the proportion of pixels corresponding to regions with retinal detachment and patchy retinal atrophy, respectively, differentiating by each disease category, acquisition protocol and subset. As expected, no retinal detachment lesions were found in images acquired with the optic disc at the center of the field of view or in images of patients with no PM (Table 4). Patchy retinal atrophies, on the other hand, are observed in both PM and non-PM categories (Table 5), although their size is much larger in PM subjects. Furthermore, these lesions are more frequently observed in images with visible optic disc, which is expected considering that these lesions appear at the vecinity of this anatomical structure.

In addition to discussing the characteristics of the lesions in the images, we also counted the properties of the fovea position in the fundus images with respect to photo centering used. Table 6 shows the mean  $[\bar{x}, \bar{y}]$  of the normalized coordinates for the fovea localization among the fundus images with different photo centering in PALM dataset<sup>12</sup>.  $\bar{x} = \frac{1}{N} \sum_{i=1}^{N} \frac{x_i}{W_i}$ ,  $\bar{y} = \frac{1}{N} \sum_{i=1}^{N} \frac{y_i}{H_i}$ , where  $[x_i, y_i]$  is the coordinate of the fovea in the *i*th image, and  $H_i$  and  $W_i$  are the height and width of the image. N is the total number of the samples in the corresponding categories. From the table, we can see that in fundus image centered on the optic disc, the fovea appears on the right side of the images, as fundus pictures correspond in all cases to left eyes. In the images centered on the macula, the fovea appears in the center, and in the images centered on the midpoint of the optic disc and the macula, the fovea appears to the right of the image center. Thus, the fovea position characteristics are consistent with our expectations.

#### **Usage Notes**

PALM images can be used to perform studies on automated PM classification, optic disc segmentation, fovea localization, and atrophic lesion retinal detachment segmentation. In the aforementioned PALM Challenge, these tasks were set up as sub-challenges in which different participating teams proposed their own methods to automate them. The evaluation of their corresponding approaches in the validation and test sets for each of the sub-challenges are accessible in https://palm.grand-challenge.org/SemifinalLeaderboard/ and https://palm.grand-challenge.org/Test/.

For the studies on classification, segmentation and localization, we designed a series of baseline models<sup>28</sup>, which we trained and evaluated using PALM data<sup>12</sup>. For optic disc and lesion segmentation, we used a standard U-shaped network<sup>29</sup> with residual blocks, while for PM classication and fovea localization we utilized ResNet50<sup>30</sup> architectures. The corresponding code has been released as open source (See Code availability section).

In summary, PALM<sup>12</sup> is the first dataset for assisting AI researchers in training AI models for automated PM analysis. Disease labels are complemented by a series of manual annotations of lesions and anatomical structures that can allow studies focusing on exploiting complementary features to enhance results. Furthermore, PALM<sup>12</sup> can be used in combination with other existing fundus image datasets such as REFUGE<sup>5</sup> and ADAM<sup>31</sup> to produce much more robust models for optic disc segmentation, fovea localization and even quality assessment. In addition, researchers can use the PALM dataset, which includes Chinese ethnicity, and related datasets that include other ethnicities to conduct race-specific studies related to pathological myopia, optic cup/disc segmentation, and fovea localization. In the future, as we expand this dataset, we will include data from the right eye to create a more comprehensive resource for research and model development.

#### **Code availability**

The source code for the image quality assessment by *Fu et.al.* can be accessed at https://github.com/hzfu/EyeQ. The source code for the baseline model training and testing is available at https://github.com/tianyizheming/ ichallenge\_baseline.

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Acquisition of data: X.Z. and F.L., Analysis and interpretation of data: H.F. (Huihui Fang), J.W. and X.S., PALM Challenge organization: Y.X., X.Z., H.F. (Huazhu Fu), F.L., X.S., J.I.O, H.B., Drafting the work or revising it critically: H.F. (Huihui Fang), F.L., J.I.O, H.B., H.F. (Huazhu Fu), J.W. and Y.X. F.L. was supported by the Young Talent Support Project of Guangzhou Association for Science and Technology (2022).

#### **Competing interests**

The authors declare no competing interests.

#### **Additional information**

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